



JC13 Rec'd PCT/PTO

PR 2001

S&amp;H Form: PTO/SF-17 (2001)

#3

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS**

<b>AMOUNT ENCLOSED</b>	<b>\$</b>	<b>130.00</b>	Attorney Docket No.	1454.1048/RAG
			Application Number	09/763,029
			Filing Date	February 16, 2001
			First Named Inventor	Manfred SCHAEFER
			Group Art Unit	
		Examiner Name	(Unassigned)	

Pursuant to 37 C.F.R. §1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed March 30, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney
- ☐ Formal Drawings
- ☒ English-language translation of PCT application and correspondence
- ☐ Applicant(s) claim Small Entity status under 37 C.F.R. §1.27

Enclosed is a check in payment of the following:

- ☐ The filing fee as set forth in 37 C.F.R. §1.16(a) \$710.00
- ☐ The additional claim(s) fee (\_\_\_ claims over 20) \$ .00
- ☐ The additional independent claim(s) fee (\_\_\_ claims over 3) \$ .00
- ☐ Petition for Extension of Time (\_\_\_-month) \$ .00
- ☒ Surcharge as set forth in 37 C.F.R. §1.16(e) \$130.00

04/13/2001 UEDUVIJE 00000089 09763029

**TOTAL FEES: \$ 130.00**

01 FC:154 130.00 OP

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_\_\_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$390)); (3 months (\$890)); (4 months (\$1,390)); (5 months (\$1,890));

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.

**AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	Richard A. Gollhofer	Reg. No.	31,106
Signature	<i>Richard A. Gollhofer</i>	Date	4/10/01

DOCKETED 1B  
DKTN NO. 1454.1048/RAG  
DATE DUE 4-30-01 Missing  
CALL UP

Pats  
Due



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

#3

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET #
09/763029	SCHAEFER	M
INTERNATIONAL APPLICATION NO.		
PCT/DE99/02013		
I.A. FILING DATE	PRIORITY DATE	
01 JUL 99	19 AUG 99	
DATE MAILED: 30 MAR 2001		

STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001

OTPE  
APR 1 0 2001  
PATENT & TRADEMARK OFFICE

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.  
☐ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_.

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☒ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

John L. Anderson

Telephone: 703-308-9116

09/763029

Docket No. 1454.1048/RAG



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Manfred SCHÄFER

Serial No.: 09/763,029

Group Art Unit:

Filed: February 16, 2001

Examiner:

For: METHOD AND ARRAY AND SET OF SEVERAL ARRAYS FOR  
PROTECTING SEVERAL PROGRAMS AND/OR FILES FROM  
UNAUTHORIZED ACCESS BY A PROCESS

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
19	3935
FEE CODE	VALUE FURNISHED
156	130
968	270

SUBMISSION OF DECLARATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the rules of the Commissioner of Patents and Trademarks, published at 1035 O.G. 3 (October 4, 1983), the attached Declaration signed by Manfred SCHÄFER identifies the inventor as Manfred SCHÄFER, who is the named inventor of the subject application, as concurrently filed, and identifies the title of the invention as METHOD AND ARRAY AND SET OF SEVERAL ARRAYS FOR PROTECTING SEVERAL PROGRAMS AND/OR FILES FROM UNAUTHORIZED ACCESS BY A PROCESS, which is the title of the subject application specification, as concurrently filed. The undersigned registered attorney states that the application entitled METHOD AND ARRAY AND SET OF SEVERAL ARRAYS FOR PROTECTING SEVERAL PROGRAMS AND/OR FILES FROM UNAUTHORIZED ACCESS BY A PROCESS, concurrently filed herewith is the application which the inventor Manfred SCHÄFER executed by signing the attached Declaration.

04/26/2001 UEDWVJE 00000015 193935 09763029  
 Sale Ref: 00000072 DAH: 193935 09763029  
 01 FC:156 130.00 CH  
 02 FC:968 270.00 CH

It is requested that the attached Combined Declarations/Powers of Attorney be entered in the file for the above-referenced application and that the application be advanced to examination.

If any payments are required in connection with the filing of this paper, please charge same to our Deposit Account No. 19-3935.

Respectfully submitted,  
STAAS & HALSEY LLP

By: Richard A. Gollhofer  
Richard A. Gollhofer  
Registration No. 31,106

700 Eleventh Street, N.W.  
Suite 500  
Washington, D.C. 20001  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

Date: 4/10/01